Havant Borough Council

4. Analysis of 'Live' audit reviews (September update)

Audit Review	Report Date	Audit Sponsor	Assurance Opinion	Total Management Action(s)	Not Yet Due	Complete	Overdue		
							L	M	H
Information Governance *	**May 19**	**HoPRQ**	**Adequate ****	**12**	**0**	**12**			
Food Safety ***	Nov 19	HoNS	Limited **	10	0	9	1		
Neighbourhood Quality (Fly Tipping) *	**Dec 19**	**HoNS**	**Limited ****	**13**	**0**	**13**			
Treasury Management *	**May 20**	**CFO**	**No ****	**10**	**0**	**10**			
Animal Welfare (Licensing) ***	May 20	HoNS	Limited **	14	1	8	5		
Lease Income *	**May 20**	**HoNS**	**Limited ****	**14**	**0**	**14**			
IT Asset Management ***	Jun 20	HoPRQ	Adequate **	6	1	5			
Licensing ***	Jun 20	HoNS	Adequate **	6	1	4			1
Information Governance ***	Jun 20	HoPRQ	Limited **	8	1	7			
Norse – Governance Arrangements	Jun 20	HoSC	Adequate **	4	0	2			2
Human Resources *	**Jan 21**	**HoOD**	**Reasonable**	**4**	**0**	**4**			
Computer Implementations (Building Control) *	**Jan 21**	**HoPRQ**	**Reasonable**	**6**	**0**	**6**			
IT Risk Management *	**Feb 21**	**HoPRQ**	**Reasonable**	**3**	**0**	**3**			
Norse South East - Governance	Apr 21	HoSC	Reasonable	5	4	0		1	
Lease Income	Jun 21	HoNS	Reasonable	3	3	0			
IT Security Governance *	**Jun 21**	**HoPRQ**	**Reasonable**	**2**	**0**	**2**			
Project & Programme Management *	**Jun 21**	**HoPRQ**	**Reasonable**	**4**	**0**	**4**			
Building Control	Jun 21	SD (RP)	Limited	9	9	0			
Treasury Management	Sep 21	CFO	Reasonable	1	1	0			

Total		6	1	3

* Denotes audits where all actions have been completed since the last progress report

** The reports listed (pre 2020-21 audit plan) refer to categorisations used by SIAP prior to the adoption of the CIPFA standard definitions, reference is provided at Annex 2.

*** Updated following Corporate Governance Board meeting on 08/09/21

Audit Sponsor	
Head of Organisational Development	HoOD
Head of Customer Services	HoCS
Chief Finance Officer and Director of Corporate Services	CFO
Head of Programmes, Redesign and Quality	HoPRQ
Head of Development	HoD
Head of Strategic Commissioning	HoSC
Head of Neighbourhood Support	HoNS
Head of Regeneration	HoR
Service Director (Regeneration and Place)	SD (RP)
Head of Commercial Development	HoCD
Head of Housing & Community Engagement	HoHCE